# ON CYCLIC QUARTIC EXTENSIONS WITH NORMAL BASIS

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# On Cyclic Quartic Extensions With Normal Basis

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**Abstract.** Let $R$ be a commutative ring with 2 being a unit in $R$. We give a complete description of all cyclic quartic extensions of $R$ having a normal basis. We also give a description of the group $NB(\mathbb{Z}/4\mathbb{Z}, R)$ of all the $R[\mathbb{Z}/4\mathbb{Z}]$–isomorphism classes of these cyclic quartic extensions of $R$.

## Introduction

Throughout this paper $R$ is a commutative ring with 2 being a unit in $R$. By a cyclic quartic extension $A$ of $R$ we mean a commutative Galois extension of $R$ in the sense of [1] with a cyclic Galois group $\langle \sigma \rangle$ generated by an $R$–automorphism $\sigma$ of $A$ whose order is 4. Such an $A$ is an $R[\langle \sigma \rangle]$–module in a natural way and we say that $A$ *has a normal basis over* $R$ if $A$ is a free $R[\langle \sigma \rangle]$–module of rank 1. The purpose of this paper is to study cyclic quartic extensions of $R$ which have normal basis.

For any commutative ring $T$ we will denote by $T^*$ the multiplicative group of all the units of $T$ and by $T^{*2}$ the subgroup of the squares of the elements of $T^*$.

Let $S = R[X]/(X^2+1) = R[i]$, where $i$ denotes the coset of $X$ modulo $(X^2+1)$. In §1 we construct for every pair $(u, v) \in R^* \times S^*$ a cyclic quartic extension $A_{u,v}$ of $R$ which has a normal basis and, conversely, we show that any cyclic quartic extension of $R$ which has a normal basis is isomorphic to one extension of this type. We also prove that under certain conditions $A_{u,v}$ is isomorphic to the $R$–algebra $R[Z]/(Z^4+bZ^2+c)$ for some $b, c \in R^*$ with $c(b^2-4c) \in R^{*2}$. In particular, all cyclic quartic extensions of $R$ can be described by this way when $R$ is an $LG$–ring [2] such that $|R/\mathcal{P}| > 5$

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for every maximal ideal $\mathcal{P}$ of $R$. We also establish conditions for $A_{u,v}$ to be either a field, a connected ring, a local ring or an integral domain.

In §2, as an application of the main results of §1, we prove that the group $NB(\mathbb{Z}/4\mathbb{Z}, R)$ of all the $R[\mathbb{Z}/4\mathbb{Z}]$–isomorphism classes of cyclic quartic extensions of $R$ having a normal basis is isomorphic to a quotient of the group $R^* \times S^*$.

Throughout this paper a cyclic quartic extension $A$ of $R$ with Galois group $\langle \sigma \rangle$ will be denoted by $(A, \sigma)$. Unadorned $\otimes$ will mean $\otimes_R$.

## 1. The structure of a cyclic quartic extension with normal basis

The $R$–algebra $S = R[X]/(X^2+1) = R[i]$, where $i = X + (X^2+1)$, is a (Galois) quadratic extension of $R$ with Galois group generated by the obvious $R$–automorphism. We will denote by $N$ the norm mapping from $S$ to $R$, i.e., $N(r_0 + r_1 i) = r_0^2 + r_1^2$.

Let $(u, v) \in R^* \times S^*$, where $v = r_0 + r_1 i$. We put $a = N(v) \in R^*$ and $D_a = R[X]/(X^2 - a) = R[x]$, where $x = X + (X^2 - a)$. Denote by $M = Re_0 \oplus Re_1$ a free $R$–module of rank 2 with a basis $\{e_0, e_1\}$ over $R$ and set $A_{u,v} = D_a \oplus M$ as $R$–module. Hence, $A_{u,v}$ is a free $R$–module of rank 4 with a basis $\{1, x, e_0, e_1\}$ over $R$. It is easy to check that the following relations define on $A_{u,v}$ an structure of commutative $R$–algebra:

$$x^2 = a,\ e_0^2 = u(1 + a^{-1} r_0 x),\ e_1^2 = u(1 - a^{-1} r_0 x),\ e_0 e_1 = a^{-1} u r_1 x,$$
$$x e_0 = r_0 e_0 + r_1 e_1 \quad \text{and} \quad x e_1 = r_1 e_0 - r_0 e_1.$$

Also, the mapping $\rho : A_{u,v} \to A_{u,v}$ defined by $\rho|_R = id$, $\rho(x) = -x$, $\rho(e_0) = e_1$ and $\rho(e_1) = -e_0$ is a (well–defined) $R$–algebra automorphism of $A_{u,v}$ whose order is 4.

**Lemma 1.1.** *$(A_{u,v}, \rho)$ is a cyclic quartic extension of $R$ which has a normal basis.*

**Proof.** From the above definitions it trivially follows that the fixed subring $A_{u,v}^{\rho} = \{t \in A_{u,v} : \rho(t) = t\}$ equals $R$. Now, let $\alpha = 4^{-1}(1 + x + e_0 - e_1)$ and $\alpha_i = \rho^i(\alpha)$, $0 \le i \le 3$. Clearly $\{\alpha_i : 0 \le i \le 3\}$ is a normal basis of $A_{u,v}$ over $R$ and the following relations hold:

$$\alpha_0 + \alpha_1 + \alpha_2 + \alpha_3 = 1, \quad (\alpha_0 + \alpha_2) - (\alpha_1 + \alpha_3) = x \quad \text{and}$$
$$(\alpha_0 - \alpha_2)^2 + (\alpha_1 - \alpha_3)^2 = 2^{-1}(e_0^2 + e_1^2) = u$$

The determinant of the circulant matrix $m(\alpha) = (\rho^{j-i}(\alpha))$, $0 \le i, j \le 3$, is $\det(m(\alpha)) = [(\alpha_0+\alpha_2)^2 - (\alpha_1+\alpha_3)^2][(\alpha_0-\alpha_2)^2 + (\alpha_1-\alpha_3)^2] = xu \in A_{u,v}^*$. Hence, $m(\alpha)$ is invertible and $[m(\alpha)]^{-1}$ is also circulant, say $[m(\alpha)]^{-1} = (\beta_{i-j \pmod 4})$, $0 \le i, j \le 3$. Thus, we get $\sum_{i=0}^{3} \rho^j(\alpha_i)\beta_i = \delta_{0,j}$. The proof is complete by ([1], Theorem 1.3.b)).

Before proving the next theorem we recall that two commutative ring extensions $(A,\sigma)$ and $(B,\tau)$ of $R$ with fixed $R$-automorphisms $\sigma$ and $\tau$, respectively, are *isomorphic* if there exists an $R$-algebra isomorphism $\varphi : A \longrightarrow B$ such that $\varphi\circ\sigma = \tau\circ\varphi$.

Now we have the main result of this section.

**Theorem 1.2.** Let $(A,\sigma)$ be a commutative ring extension of $R$ with an $R$-automorphism $\sigma$ of $A$. Then, $(A,\sigma)$ is a cyclic quartic extension of $R$ having a normal basis if and only if $(A,\sigma)$ is isomorphic to $(A_{u,v},\rho)$ for some $(u,v) \in R^* \times S^*$.

**Proof.** Let $(A,\sigma)$ be a cyclic quartic extension of $R$ and let $D$ be the fixed subring $A^{\sigma^2}$ of $A$. Then, by the results in [1] it follows that $A$ is a quadratic extension of $D$ with Galois group $\langle\sigma^2\rangle$, $D$ is a quadratic extension of $R$ with Galois group $\langle\sigma|_D\rangle$, $D = R \oplus X(D)$ as $R$-modules and $A = D \oplus X(A)$ as $D$-modules, where $X(D) = \{t \in D : \sigma(t) = -t\}$ and $X(A) = \{t \in A : \sigma^2(t) = -t\}$.

Now, suppose that $\{\alpha_i = \sigma^i(\alpha) : 0 \le i \le 3\}$ is a normal basis of $A$ over $R$. Then, by Proposition 3.1 of [4], $\det(\sigma^{i+j}(\alpha)) = -[(\alpha_0+\alpha_2)^2 - (\alpha_1+\alpha_3)^2]\,[(\alpha_0-\alpha_2)^2 + (\alpha_1-\alpha_3)^2] \in A^*$. We also may assume that $\sum_{i=0}^{3}\alpha_i = 1$.

By setting $x = (\alpha_0+\alpha_2) - (\alpha_1+\alpha_3)$, $x^2 = a$, $e_0 = (\alpha_0-\alpha_2) + (\alpha_1-\alpha_3)$ and $e_1 = \sigma(e_0)$ we easily get $a \in R^*$, $X(D) = Rx$, $D = R[x] \simeq R[X]/(X^2-a)$ and $X(A) = Re_0 \oplus Re_1$. Also, since $\sigma(x) = -x$, $\sigma^2(xe_0) = -xe_0$, $\sigma^2(e_0^2) = e_0^2$, $\sigma^2(e_0e_1) = e_0e_1$ and $\sigma(e_0e_1) = -e_0e_1$, it follows that $xe_0 \in X(A)$, $e_0^2 \in D$ and $e_0e_1 \in X(D)$. From these conditions, by using the relations $ae_0 = x(xe_0)$, $xe_0^2 = (xe_0)e_0$ and $x(e_0e_1) = (xe_0)e_1$, we get unique elements $r_0, r_1 \in R$ and $u \in R^*$ such that

$$\begin{array}{lcll} xe_0 & = & r_0e_0 + r_1e_1, & xe_1 = -\sigma(xe_0) = r_1e_0 - r_0e_1, \\ a & = & r_0^2 + r_1^2 = N(v), \quad \text{for} & v = r_0 + r_1 i \in S^*, \\ e_0^2 & = & u(1 + a^{-1}r_0x), & e_1^2 = \sigma(e_0^2) = u(1 - a^{-1}r_0x) \quad \text{and} \\ e_0e_1 & = & a^{-1}ur_1x. & \end{array}$$

Therefore, $(A,\sigma)$ is clearly isomorphic to the cyclic quartic extension $(A_{u,v},\rho)$ of $R$.

The converse holds by Lemma 1.1.

**Remark 1.3.** It is clear that the pair $(u,v) \in R^* \times S^*$ constructed in the above theorem depends on the choice of the normal basis. Also, the pair which corresponds to $(A_{u,v},\rho)$ defined in Lemma 1.1 with respect to the basis given in the lemma is clearly $(u,v)$.

From now on we will keep the above notations. If $(A,\sigma)$ and a normal basis $\{\alpha_i = \sigma^i(\alpha) : 0 \le i \le 3\}$ of $A$ over $R$ with $\sum_{i=0}^{3}\alpha_i = 1$ are given, the basis $\{1, x, e_0, e_1\}$ as constructed in the above theorem will be called *the canonical basis*

*associated with* $(A, \sigma, \alpha)$.

Now we have

**Proposition 1.4.** $A_{u,v} = R[e_0]$ if and only if $r_0 r_1 \in R^*$. Moreover, in this case $e_0^4 = 2ue_0^2 - a^{-1}(r_1 u)^2$ and $\rho(e_0) = \varepsilon(r_0 r_1)^{-1}(r_0^2 + a)e_0 - \varepsilon a(r_0 r_1 u)^{-1} e_0^3$, where $\varepsilon \in R^*$ is some solution of the equation $\varepsilon^2 = 1$.

**Proof.** From the equations stated in the beginning of this section it trivially follows that

$$\begin{aligned} & e_0^4 = 2ue_0^2 - a^{-1}(r_1 u)^2 \\ & 2a^{-1} r_0 ux = e_0^2 - e_1^2 = (e_0 - \rho(e_0))(e_0 - \rho^3(e_0)) \quad \text{and} \\ & 4a^{-1} r_1 ux = 4e_0 e_1 = (e_0 - \rho^2(e_0))(\rho(e_0 - \rho^2(e_0))). \end{aligned}$$

On the other hand, by ([4], Corollary 2.2) $A_{u,v} = R[e_0]$ if and only if $(e_0 - \rho^i(e_0)) \in A_{u,v}^*$, for $1 \le i \le 3$. Then the first part follows since 2, $u$, $a$ and $x$ are units in $A_{u,v}$.

Also, since $A_{u,v}$ is a quadratic extension of $D_a = A_{u,v}^{\rho^2}$ with Galois group $\langle \rho^2 \rangle$, if $r_1 \in R^*$ we similarly get $A_{u,v} = D_a[e_0] = D_a \oplus D_a e_0$.

Now, assume $r_0 r_1 \in R^*$. Since $\rho(e_0) = e_1 \in X(A_{u,v}) = D_a e_0$, there exists $d \in D_a$ such that $\rho(e_0) = de_0$. Moreover, from $e_0^2 = u(1 + a^{-1} r_0 x)$ and $\rho^2(e_0) = -e_0$ we easily get $d\rho(d) = -1$ and $d^2(1 + a^{-1} r_0 x) = 1 - a^{-1} r_0 x$. Put $d = d_0 + d_1 x$, with $d_0, d_1 \in R$. Then, we have $d_0^2 - d_1^2 a = -1$, $d_0^2 + d_0 d_1 r_0 = 0$ and $d_1^2 r_0 + d_0 d_1 = 0$. If $\mathcal{P}$ is a maximal ideal of $R$ and $d_0 \in \mathcal{P}$, then $d_1 \in \mathcal{P}$ and so $-1 \in \mathcal{P}$. Consequently, $d_0$ is a unit in $R$ and it follows $d_1 = -d_0 r_0^{-1}$ and $d_0 = \varepsilon r_0 r_1^{-1}$ where $\varepsilon \in R^*$ satisfies $\varepsilon^2 = 1$. Thus $\rho(e_0) = r_1^{-1}\varepsilon(r_0 - x)e_0$. From $e_0^2 = u(1 + a^{-1} r_0 x)$ we get $x = a(r_0 u)^{-1}(e_0^2 - u)$ and hence $\rho(e_0) = \varepsilon(r_0 r_1)^{-1}(r_0^2 + a)e_0 - \varepsilon a(r_0 r_1 u)^{-1} e_0^3$, which completes the proof.

A slight reformulation of the above proposition gives the following interesting

**Corollary 1.5.** If $r_0 r_1 \in R^*$ then there exist $b, c \in R^*$ such that $c(b^2 - 4c) \in R^{*2}$ and $A_{u,v} \simeq R[Z]/(Z^4 + bZ^2 + c) = R[z]$, where $z = Z + (Z^4 + bZ^2 + c)$. Moreover, under this isomorphism the $R$–automorphism $\rho$ of $A_{u,v}$ corresponds to the $R$–automorphism $\sigma$ of $R[z]$ given by $\sigma(z) = \lambda^{-1}(b^2 - 2c)z + \lambda^{-1} bz^3$, where $\lambda \in R^*$ is some solution of the equation $\lambda^2 = c(b^2 - 4c)$.

**Proof.** It is enough to write (in Proposition 1.4) $-2u = b$ and $a^{-1}(r_1 u)^2 = c$ and to consider the mapping $e_0 \mapsto z$ from $A_{u,v}$ to $R[z]$.

The following proposition gives the converse of Corollary 1.5.

**Proposition 1.6.** Suppose that $b, c \in R$ and $A = R[Z]/(Z^4 + bZ^2 + c) = R[z]$, where $z = Z + (Z^4 + bZ^2 + c)$. If $b, c \in R^*$ and $c(b^2 - 4c) \in R^{*2}$, then $A$ is a cyclic quartic extension of $R$ with Galois group generated by $\sigma : z \mapsto \lambda^{-1}(b^2 - 2c)z + \lambda^{-1}bz^3$, where $\lambda \in R^*$ is a solution of the equation $\lambda^2 = c(b^2 - 4c)$. Furthermore, $A$ has a normal basis over $R$ and $(A, \sigma)$ is isomorphic to $(A_{u,v}, \rho)$ with $u = -2^{-1}b$ and $v = (2c)^{-1}\lambda - i$.

**Proof.** Let $\lambda \in R^*$ a solution of the equation $\lambda^2 = c(b^2 - 4c)$ and put $t = \lambda^{-1}(b^2 - 2c)z + \lambda^{-1}bz^3 \in A$. We can easily check that $t^2 = -(b + z^2)$ and $t^4 + bt^2 + c = 0$. From this it follows that $\sigma$ defined by $\sigma|_R = id$ and $\sigma(z) = t$ is a (well-defined) $R$-algebra homomorphism of $A$. Then, $\sigma^2(z) = \lambda^{-1}(b^2 - 2c + b\sigma(z^2))\sigma(z) = -\lambda^{-2}(2c + bz^2)(b^2 - 2c + bz^2)z = -\lambda^{-2}(b^2 - 4c)cz = -z$. Therefore, $\sigma^4 = id$ and so $\sigma$ is an $R$-automorphism of order 4. Also, by using $\sigma^2(z) = -z$ and $\sigma(z^2) = -(b + z^2)$ we easily see that the fixed subring $A^\sigma$ equals $R$.

Put $y = -\lambda^{-1}b(z^2 + 2^{-1}b)$, $f_0 = z$ and $f_1 = \sigma(z)$. It follows that $f_0^2 - f_1^2 = -2\lambda b^{-1}y$, $y^2 = (4c)^{-1}b^2$ and $f_0^2 f_1^2 = c$. Hence, $(f_0 - \sigma(f_0))(f_0 - \sigma^2(f_0))(f_0 - \sigma^3(f_0)) \in A^*$. Then, $(A, \sigma)$ is a cyclic quartic extension of $R$ by ([1], Theorem 1.3 (f)).

Finally, since $\{1, y, f_0, f_1\}$ is a basis of $A$ over $R$ we get a normal basis by writing $\alpha = 4^{-1}(1 + y + f_0 - f_1)$ and considering $\{\sigma^i(\alpha) : 0 \le i \le 3\}$. The last claim is immediate.

In general, there exist cyclic quartic extensions $(A, \sigma)$ of $R$ having normal basis such that for any representation $(A, \sigma) \simeq (A_{u,v}, \rho)$ the corresponding $v = r_0 + r_1 i$ satisfies $r_0 r_1 \notin R^*$. Take, for example, $R = \mathbb{F}_3$ or $\mathbb{F}_5$, $A = R \times R \times R \times R$ and $\sigma$ the cyclic shift, where $\mathbb{F}_p$ denotes the finite field with $p$ elements.

Nevertheless, there is an interesting case in which we can always get a representation of the type given in Proposition 1.6. Following [2] $R$ is called an $LG$-ring if whenever a polynomial $f \in R[X_1, \ldots, X_n]$ represents a unit over $R_\mathcal{P}$, for every maximal ideal $\mathcal{P}$ of $R$, then $f$ represents a unit over $R$. $LG$-rings include semilocal rings or, more generally, rings which are von Neumann regular modulo their Jacobson radical.

**Corollary 1.7.** Assume that $R$ is an $LG$-ring such that $|R/\mathcal{P}| > 5$, for every maximal ideal $\mathcal{P}$ of $R$. Then every cyclic quartic extension of $R$ is of the type described in Proposition 1.6.

**Proof.** Since $R$ is an $LG$-ring, every cyclic quartic extension $(A, \sigma)$ of $R$ has a normal basis ([4], Theorem 3.2). Thus, given a normal basis $\{\alpha_i = \sigma^i(\alpha) : 0 \le i \le 3\}$ of $A$ over $R$, with $\sum_{i=0}^{3} \alpha_i = 1$, let $\{1, x, e_0, e_1\}$ be the canonical basis associated with $(A, \sigma, \alpha)$ and $(u, v) \in R^* \times S^*$ the corresponding pair, $v = r_0 + r_1 i$.

Assume $r_0 r_1 \notin R^*$. We show is always possible to obtain another canonical basis $\{1, y, f_0, f_1\}$ of $A$ over $R$ for which $yf_0 = s_0 f_0 + s_1 f_1$, with $s_0 s_1 \in R^*$. This fact completes the proof.

For, take $y = z$, $f_0 = \lambda_0 e_0 + \lambda_1 e_1$, and $f_1 = \sigma(f_0) = -\lambda_1 e_0 + \lambda_0 e_1$, where $\lambda_0, \lambda_1 \in R$. The set $\{1, y, f_0, f_1\}$ is a basis of $A$ over $R$ if and only if $\lambda = \lambda_0^2 + \lambda_1^2 = \det \begin{pmatrix} \lambda_0 & -\lambda_1 \\ \lambda_1 & \lambda_0 \end{pmatrix} \in R^*$. Moreover, in this case $\{1, y, f_0, f_1\}$ is the canonical basis associated with $(A, \sigma, \beta)$, where $\beta = 4^{-1}(1 + y + f_0 - f_1)$. Also, we can check that $yf_0 = s_0 f_0 + s_1 f_1$ with $s_0 = \lambda^{-1}[r_0(\lambda_0^2 - \lambda_1^2) + 2r_1\lambda_0\lambda_1]$ and $s_1 = \lambda^{-1}[r_1(\lambda_0^2 - \lambda_1^2) - 2r_0\lambda_0\lambda_1]$. So, in order to get our aim it suffices to verify that the polynomial $f = (X_0^2 + X_1^2)[r_0(X_0^2 - X_1^2) + 2r_1X_0X_1][r_1(X_0^2 - X_1^2) - 2r_0X_0X_1] \in R[X_0, X_1]$ represents a unit over $R_{\mathcal{P}}$, for every maximal ideal $\mathcal{P}$ of $R$. Since $r = r_0 + r_1 i \in S^*$ and $|R/\mathcal{P}| > 5$, we have $f \not\equiv 0 \pmod{\mathcal{P}R[X_0, X_1]}$ for such a $\mathcal{P}$ and the result easily follows.

In the rest of this section we will deal with the conditions for a cyclic quartic extension of $R$ having a normal basis to be a field (resp. a connected ring, a local ring, an integral domain). Firstly, we need the following

**Lemma 1.8.** Assume that $R$ is a connected ring and let $(A, \sigma)$ be a cyclic quartic extension of $R$. Then, $A^{\sigma^2} \simeq R \times R$ if and only if there exists a (Galois) quadratic extension $D$ of $R$ such that $A \simeq D \times D$.

**Proof.** Suppose that $A^{\sigma^2} \simeq R \times R$. We may assume $A^{\sigma^2} = R \times R = R\varepsilon_1 \oplus R\varepsilon_2$, with $\varepsilon_1 = (1, 0)$ and $\varepsilon_2 = (0, 1)$, and $\sigma(\varepsilon_1) = \varepsilon_2$. Then, $A = A\varepsilon_1 \oplus A\varepsilon_2$, $\sigma|_{A\varepsilon_1} : A\varepsilon_1 \xrightarrow{\sim} A\varepsilon_2$ and $\sigma^2|_{A\varepsilon_1} : A\varepsilon_1 \xrightarrow{\sim} A\varepsilon_1$. It is not difficult to see that $D = A\varepsilon_1$ is a quadratic extension of $R\varepsilon_1 \simeq R$, with the Galois group $\langle \sigma^2 \rangle$.

For the converse we may also assume $A = D \times D$. Since $D$ is a (Galois) quadratic extension of $R$ let $\tau$ denotes the generator of the corresponding Galois group of $D$.

Firstly, we show that $D$ is either a connected ring or $D \simeq R \times R$. Suppose that $D$ is not connected. Thus, $D = D\varepsilon_1 \oplus D\varepsilon_2$ where $\varepsilon_1$ and $\varepsilon_2$ are non-zero idempotents of $D$ such that $\varepsilon_1 + \varepsilon_2 = 1$. Since $R$ is connected it easily follows that $\tau(\varepsilon_1) = \varepsilon_2$ and consequently $R \simeq R\varepsilon_1 \simeq R\varepsilon_2$. Furthermore, $D\varepsilon_i$ is a Galois extension of $R\varepsilon_i$, $i = 1, 2$, by ([5], Proposition 1.3). Also, $D$ is a projective $R$-module of rank 2, so each $D\varepsilon_i$ is a projective $R\varepsilon_i$-module of rank 1. Consequently, it follows from ([1], Lemma 1.6) that $D\varepsilon_i = R\varepsilon_i$, $i = 1, 2$. Then, $D = D\varepsilon_1 \oplus D\varepsilon_2 = R\varepsilon_1 \oplus R\varepsilon_2 \simeq R \times R$.

Now, if $D \simeq R \times R$ then $A = R\varepsilon_1 \oplus R\varepsilon_2 \oplus R\varepsilon_3 \oplus R\varepsilon_4$, where $\varepsilon_1, \varepsilon_2, \varepsilon_3$ and $\varepsilon_4$ are minimal idempotents of $A$, and $\sigma$ is a cyclic permutation (of order 4) of them. In this case we have $A^{\sigma^2} \simeq R \times R$. If $D$ is connected, the unique idempotents of $A$ are $0 = (0, 0)$, $1 = (1, 1)$, $\varepsilon_1 = (1, 0)$ and $\varepsilon_2 = (0, 1)$. So, $A = D\varepsilon_1 \oplus D\varepsilon_2$ and $\sigma$ is determined by $\sigma|_D$, $\sigma(\varepsilon_1)$ and $\sigma(\varepsilon_2)$. Since $\sigma|_D : D \to A$ is an $R$-algebra homomorphism, there exists an idempotent $\varepsilon \in A$ such that $\sigma|_D = \varepsilon\, id + (1 - \varepsilon)\tau$ ([1], Theorem 3.1). We have $\sigma(\varepsilon_1) = \varepsilon_2$, $\sigma(\varepsilon_2) = \varepsilon_1$ and either $\sigma|_D = \varepsilon_1 id + \varepsilon_2\tau$ or $\sigma|_D = \varepsilon_2 id + \varepsilon_1\tau$, because in the other cases we get $\sigma^2 = id$, a contradiction. Now, it easily follows that

$A^{\sigma^2} \simeq R \times R$, which completes the proof.

**Corollary 1.9.** Let $(A, \sigma)$ be a cyclic quartic extension of $R$. Then, $A$ is a connected ring (resp. a field, a local ring) if and only if $A^{\sigma^2}$ is a connected ring (resp. a field, a local ring).

**Proof.** Assume that $A$ is not connected and $D = A^{\sigma^2}$ is connected. Since $A$ is a (Galois) quadratic extension of $D$, by similar arguments to those used in the proof of the preceding lemma we get $A \simeq D \times D$. Thus, $D = A^{\sigma^2} \simeq R \times R$ which is a contradiction.

If $A^{\sigma^2}$ is a field, then $A$ is a connected quadratic extension of a field and so $A$ is a field.

Finally, if $A^{\sigma^2}$ is a local ring, then $R$ is also a local ring. If $\mathcal{P}$ is the maximal ideal of $R$, a straightforward argument (reduction module $\mathcal{P}$) assures us that $A$ is a local ring with maximal ideal $\mathcal{P}A$.

The converses are obvious.

The proof of the following corollary is easy and it will be omitted here.

**Corollary 1.10.** Let $(u, v) \in R^* \times S^*$, with $N(v) = a$. Then,

(i) $A_{u,v}$ is a connected ring if and only if $R$ is a connected ring and $a \notin R^{*2}$.

(ii) $A_{u,v}$ is a field if and only if $R$ is a field and $a \notin R^{*2}$.

(iii) $A_{u,v}$ is a local ring if and only if $R$ is a local ring with maximal ideal $\mathcal{P}$ and $a + \mathcal{P} \notin (R/\mathcal{P})^{*2}$.

(iv) $A_{u,v}$ is an integral domain if and only if $R$ is an integral domain and $a \notin F^{*2}$, where $F$ is the field of fractions of $R$.

## 2. The group $NB(\mathbb{Z}/4\mathbb{Z}, R)$

We denote by $NB(\mathbb{Z}/4\mathbb{Z}, R)$ the set of all $R[\mathbb{Z}/4\mathbb{Z}]$–isomorphism classes $[A, \sigma]$ of cyclic quartic extensions of $R$ having normal basis. On $NB(\mathbb{Z}/4\mathbb{Z}, R)$ we define the usual operation $*$:

$$[A, \sigma] * [B, \tau] = [(A \otimes B)^{\sigma^{-1} \otimes \tau}, \sigma \otimes id].$$

Clearly, $((A \otimes B)^{\sigma^{-1} \otimes \tau}, \ \sigma \otimes id)$ is a cyclic quartic extension of $R$. Also, if $\{\alpha_i = \sigma^i(\alpha) : 0 \leq i \leq 3\}$ and $\{\beta_i = \tau^i(\beta) : 0 \leq i \leq 3\}$ are normal bases of $A$ and $B$ over $R$, respectively, then $\{\gamma_i = (\sigma^i \otimes id)(\gamma) : 0 \leq i \leq 3\}$ is a normal basis of $(A \otimes B)^{\sigma^{-1} \otimes \tau}$ over $R$, for $\gamma = \sum_{i=0}^{3} \sigma^{-i}(\alpha) \otimes \tau^i(\beta)$. It is known that $*$ endows $NB(\mathbb{Z}/4\mathbb{Z}, R)$ with an abelian group structure. Actually, $NB(\mathbb{Z}/4\mathbb{Z}, R)$ is a subgroup of the Harrison group

$T(\mathbb{Z}/4\mathbb{Z}, R)$ [3] of the $R[\mathbb{Z}/4\mathbb{Z}]$-isomorphism classes of cyclic quartic extensions of $R$.

The purpose of this section is to give a description of $NB(\mathbb{Z}/4\mathbb{Z}, R)$ by using the results obtained in the former section.

We begin this section with the following

**Lemma 2.1.** Let $(A, \sigma)$ and $(B, \tau)$ be isomorphic cyclic quartic extensions of $R$. Let $\{\alpha_i = \sigma^i(\alpha) : 0 \le i \le 3\}$ and $\{\beta_i = \tau^i(\beta) : 0 \le i \le 3\}$ be normal bases of $A$ and $B$ over $R$, respectively, and assume that $\sum_{i=0}^{3}\alpha_i = \sum_{i=0}^{3}\beta_i = 1$. If $(u, v)$ and $(u_1, v_1)$ are the corresponding pairs in $R^* \times S^*$ obtained as in Theorem 1.2 for $(A, \sigma, \alpha)$ and $(B, \tau, \beta)$, respectively, then there exist $\lambda \in R^*$ and $w \in S^*$ such that $(u_1, v_1) = (N(w), \lambda w^2)(u, v)$.

**Proof.**
We may assume $(B, \tau) = (A, \sigma)$. Then there exist $\lambda_i \in R$, $0 \le i \le 3$, such that $\beta_j = \sum_{i=0}^{3}\lambda_{i-j \pmod 4}\alpha_i$, for $0 \le j \le 3$. So, $\det(\lambda_{j-i \pmod 4}) = [(\lambda_0 + \lambda_2)^2 - (\lambda_1 + \lambda_3)^2]$ $[(\lambda_0 - \lambda_2)^2 + (\lambda_1 - \lambda_3)^2] \in R^*$. Also, from $\sum_{i=0}^{3}\alpha_i = \sum_{i=0}^{3}\beta_i = 1$ we get $\sum_{i=0}^{3}\lambda_i = 1$. Hence, $\mu = (\lambda_0 + \lambda_2) - (\lambda_1 + \lambda_3) = (\lambda_0 + \lambda_2)^2 - (\lambda_1 + \lambda_3)^2 \in R^*$ and $w = (\lambda_0 - \lambda_2) - (\lambda_1 - \lambda_3)i \in S^*$. Put $\lambda = \mu N(w)^{-1}$.

Suppose that $\{1, x, e_0, e_1\}$ and $\{1, y, f_0, f_1\}$ are the canonical bases associated with $(A, \sigma, \alpha)$ and $(A, \sigma, \beta)$, respectively. Then we have the following equations:

$$x = (\alpha_0 + \alpha_2) - (\alpha_1 + \alpha_3), \quad y = (\beta_0 + \beta_2) - (\beta_1 - \beta_3)$$
$$e_0 = (\alpha_0 - \alpha_2) + (\alpha_1 - \alpha_3), \quad f_0 = (\beta_0 - \beta_2) + (\beta_1 - \beta_3)$$
$$xe_0 = r_0e_0 + r_1e_1, \quad yf_0 = s_0f_0 + s_1f_1,$$
$$e_0^2 = u(1 + a^{-1}r_0x) \quad \text{and} \quad f_0^2 = u_1(1 + b^{-1}s_0y)$$

where $v = r_0 + r_1i$, $v_1 = s_0 + s_1i$, $N(v) = a$ and $N(v_1) = b$. By replacing here $\beta_j = \sum_{i=0}^{3}\lambda_{i-j \pmod 4}\alpha_i$, $0 \le j \le 3$, we easily get

$$s_0 = \mu N(w)^{-1}[((\lambda_0 - \lambda_2)^2 - (\lambda_1 - \lambda_3)^2)r_0 + 2(\lambda_0 - \lambda_2)(\lambda_1 - \lambda_3)r_1],$$
$$s_1 = \mu N(w)^{-1}[((\lambda_0 - \lambda_2)^2 - (\lambda_1 - \lambda_3)^2)r_1 - 2(\lambda_0 - \lambda_2)(\lambda_1 - \lambda_3)r_0] \quad \text{and}$$
$$u_1 = N(w)u.$$

Therefore, $(u_1, v_1) = (N(w)u, \mu N(w)^{-1}w^2v) = (N(w), \lambda w^2)(u, v)$. The proof is complete.

Let $W(R^*, S^*) = \{(N(w), \lambda w^2) : \lambda \in R^*, w \in S^*\}$. Clearly, $W(R^*, S^*)$ is a subgroup of $R^* \times S^*$ and we denote by $\mathcal{W}(R, S)$ the quotient group $R^* \times S^*/W(R^*, S^*)$. An element of $\mathcal{W}(R, S)$ will be denoted by $[u, v]$, for $(u, v) \in R^* \times S^*$. The following lemma gives some elementary properties of $\mathcal{W}(R, S)$.

**Lemma 2.2.**

(i) $[u, v]^4 = [1, 1]$, *for any* $[u, v] \in \mathcal{W}(R, S)$.

(ii) *If* $-1 \in R^{*2}$ *then* $\mathcal{W}(R, S) \simeq R^*/R^{*4}$.

(iii) $\mathcal{W}(R, S)$ *is trivial if and only if* $R^* = R^{*2}$.

(iv) $\mathcal{W}(R, S)$ *has exponent 2 if and only if* $R^{*2} = N(S^*) \subsetneq R^*$.

(v) $\mathcal{W}(R, S)$ *has exponent 4 if and only if* $R^{*2} \subsetneq N(S^*)$.

**Proof.** (i) For $[u, v] \in R^* \times S^*$ take $w = N(v)u^{-3}v^{-2} \in S^*$ and $\lambda = N(v)^{-2}u^4 \in R^*$. Then, we have $[u, v]^4 = [u^4, v^4] = [N(w)u^4, \lambda w^2 v^4] = [1, 1]$.

(ii) Assume that $-1 = \zeta^2 \in R^{*2}$ and let $\varphi : R^* \longrightarrow \mathcal{W}(R, S)$ be the mapping defined by $\varphi(\lambda) = [1, v_\lambda]$, where $v_\lambda = 2^{-1}(1 + \lambda) + 2^{-1}\zeta(1 - \lambda)i$, for $\lambda \in R^*$. Clearly $\varphi$ is a group homomorphism.

We show that $\ker\varphi = R^{*4}$. In fact, if $\lambda \in \ker\varphi$ then $[1, v_\lambda] = [1, 1]$ and there exist $\mu \in R^*$ and $w \in S^*$ such that $v_\lambda = \mu w^2$ and $N(w) = 1$. Consequently, we have $\lambda = N(v_\lambda) = \mu^2 N(w)^2 = \mu^2$ and $v_{\lambda^2} = v_\lambda^2 = \mu^2 w^4 = \lambda w^4$. Consider the $R$-algebra homomorphism $\theta : S \to R$ given by $\theta(i) = \zeta$. Thus we have $\lambda^2 = 2^{-1}(1 + \lambda^2) + 2^{-1}\zeta(1 - \lambda^2)\zeta = \theta(v_{\lambda^2}) = \theta(\lambda w^4) = \lambda\theta(w)^4$, which implies $\lambda = \theta(w)^4 \in R^{*4}$. Conversely, if $\lambda = \mu^4 \in R^{*4}$, then $[1, v_\lambda] = [1, \mu^{-2}v_\lambda] = [1, \mu^{-2}v_{\mu^4}] = [1, (\mu^{-1}v_{\mu^2})^2] = [1, 1]$, since $N(\mu^{-1}v_{\mu^2}) = 1$. So, $\lambda \in \ker\varphi$.

Finally, given $[u, v] \in \mathcal{W}(R, S)$, put $\lambda = u^2\theta(v^2)N(v)^{-1} \in R^*$, $\mu = u^2\theta(v)N(v)^{-1} \in R^*$ and $w = \bar{v}_{u^{-1}} = 2^{-1}(1 + u^{-1}) - 2^{-1}\zeta(1 - u^{-1})i \in S^*$. Then we have $N(w) = u^{-1}$, $v_\lambda = u^2\theta(v)N(v)^{-1}(\bar{v}_{u^{-1}})^2 v = \mu w^2 v$ and $\varphi(\lambda) = [1, v_\lambda] = [N(w)u, \mu w^2 v] = [u, v]$. Thus $\varphi$ is surjective and induces a group isomorphism $R^*/R^{*4} \simeq \mathcal{W}(R, S)$.

(iii) Assume that $\mathcal{W}(R, S) = \{[1, 1]\}$. Then, for any $u \in R^*$, $(2u, i) \in W(R, S)$ and so there exist $\lambda \in R^*$ and $w \in S^*$ such that $N(w) = 2u$ and $\lambda w^2 = i$. If $w = \mu_0 + \mu_1 i$ we easily get $\mu_0^2 = \mu_1^2$ and hence $2u = 2\mu_0^2$. Thus $u = \mu_0^2 \in R^{*2}$ and so $R^* = R^{*2}$. The converse follows trivially from (ii).

(iv) Assume that $R^{*2} = N(S^*) \subsetneq R^*$. Let $[u, v] \in \mathcal{W}(R, S)$ with $r = r_0 + r_1 i$ and $a = N(v) = \mu^2 \in R^{*2}$. Take $\lambda = u^2 a^{-1} \in R^*$ and $w = (u\mu)^{-1}(r_0 - r_1 i) \in S^*$. Then $N(w) = u^{-2}$ and we easily get $[u, v]^2 = [u^2, v^2] = [N(w)u^2, \lambda w^2 v^2] = [1, 1]$. The assertion follows from (iii).

Conversely, suppose that $\mathcal{W}(R, S)$ has exponent 2. Let $r = r_0 + r_1 i \in S^*$ with $N(r) = a$ and take $\lambda = 2^{-1}a^{-2} \in R^*$ and $w = (r_0 + r_1) + (r_0 - r_1)i \in S$. Thus $N(w) = 2a \in R^*$ (so $w \in S^*$) and $[1, 1] = [1, v]^2 = [1, v^2] = [N(w), \lambda w^2 r^2] = [2a, i]$. Following the same way as in (iii) we get $a \in R^{*2}$. This obviously gives $N(S^*) = R^{*2} \subsetneq R^*$.

(v) It is a trivial consequence of (i), (iii) and (iv).

For any cyclic quartic extension $(A, \sigma)$ of $R$, which has a normal basis, choose one of such bases $\{\alpha_i = \sigma^i(\alpha) : 0 \le i \le 3\}$ of $A$ over $R$ with $\sum_{i=0}^{3} \alpha_i = 1$. Denote by $(u_A, v_A)$ the corresponding pair in $R^* \times S^*$ obtained from $(A, \sigma, \alpha)$ as usually. We

define $\psi : NB(\mathbb{Z}/4\mathbb{Z}, R) \to W(R, S)$ by $\psi([A, \sigma]) = [u_A, iv_A]$. This is a well-defined mapping by Lemma 2.1. Now we have the main result of this section.

**Theorem 2.3.** The mapping $\psi : NB(\mathbb{Z}/4\mathbb{Z}, R) \to W(R, S)$ is an isomorphism of abelian groups.

**Proof.** We firstly show that $\psi$ is a group homomorphism. Given $[A, \sigma]$, $[B, \tau] \in NB(\mathbb{Z}/4\mathbb{Z}, R)$, let $\{\alpha_i = \sigma^i(\alpha) : 0 \le i \le 3\}$ and $\{\beta_i = \tau^i(\beta) : 0 \le i \le 3\}$ be normal bases of $A$ and $B$ over $R$, respectively, with $\sum_{i=0}^{3} \alpha_i = \sum_{i=0}^{3} \beta_i = 1$. So, $\{\gamma_i = (\sigma^i \otimes id)(\gamma) : 0 \le i \le 3\}$ with $\gamma = \sum_{i=0}^{3} \sigma^{-i}(\alpha) \otimes \tau^i(\beta)$ is a normal basis of $C = (A \otimes B)^{\sigma^{-1} \otimes \tau}$ over $R$ and $\sum_{i=0}^{3} \gamma_i = 1$. Consider $\{1, x, e_0, e_1\}$, $\{1, y, f_0, f_1\}$ and $\{1, z, g_0, g_1\}$ the canonical bases associated with $(A, \sigma, \alpha)$, $(B, \tau, \beta)$ and $(C, \sigma \otimes id, \gamma)$, respectively. Let $(u_A, v_A)$, $(u_B, v_B)$ and $(u_C, v_C)$ the corresponding pairs in $R^* \times S^*$. By a direct computation we easily see that $z = x \otimes y$, $g_0 = 2^{-1}[e_0 \otimes (f_0 - f_1) - e_1 \otimes (f_0 + f_1)]$, $u_C = u_A u_B$ and $v_C = v_A v_B$. Thus, $\psi([A, \sigma] \cdot [B, \tau]) = \psi([C, \sigma \otimes id]) = [u_C, iv_C] = [u_A u_B, -v_A v_B] = [u_A, iv_A][u_B, iv_B] = \psi([A, \sigma])\psi([B, \tau])$.

Clearly, $\psi$ is surjective since $\psi([A_{u-iv}, \rho]) = [u, v]$ for any $[u, v] \in W(R, S)$ (Remark 1.3).

Finally, we show that $\psi$ is injective. Suppose that $[A, \sigma] \in \ker \psi$. Thus $[u_A, iv_A] = [1, 1]$ and there exist $\lambda \in R^*$ and $w \in S^*$ such that $N(w)u_A = 1$ and $\lambda w^2 v_A = -i$. Put $w = \mu_0 + \mu_1 i$ and define $\lambda_0 = 4^{-1}(1 + \lambda N(w) + 2\mu_0)$, $\lambda_1 = 4^{-1}(1 - \lambda N(w) - 2\mu_1)$, $\lambda_2 = 4^{-1}(1 + \lambda N(w) - 2\mu_0)$ and $\lambda_3 = 4^{-1}(1 - \lambda N(w) + 2\mu_1)$. Then $\sum_{i=0}^{3} \lambda_i = 1$, $w = (\lambda_0 - \lambda_1) + (\lambda_1 - \lambda_3)i$ and $\lambda N(w) = (\lambda_0 + \lambda_2) - (\lambda_1 + \lambda_3) \in R^*$. It follows that the circulant matrix $(\lambda_{j-i \pmod 4})$, $0 \le i, j \le 3$, is invertible and it allows us to define another normal basis $\{\beta_i = \sigma^i(\beta) : 0 \le i \le 3\}$ of $A$ over $R$ with $\sum_{i=0}^{3} \beta_i = 1$, where $\beta = \sum_{i=0}^{3} \lambda_i \alpha_i$. Consequently, a pair $(u_1, v_1)$ is obtained in $R^* \times S^*$ from $(A, \sigma, \beta)$. Now, the proof of Lemma 2.1 gives us $(u_1, v_1) = (N(w), \lambda w^2)(u_A, v_A) = (1, -i)$. Therefore, the corresponding canonical basis $\{1, y, f_0, f_1\}$ associated with $(A, \sigma, \beta)$ satisfies $y^2 = N(-i) = 1$, $f_0^2 = f_1^2 = 1$, $yf_0 = -f_1$, $yf_1 = -f_0$ and $f_0 f_1 = -y$. Since $\beta = 4^{-1}(1 + y + f_0 - f_1)$ it is now easy to verify that $\{\beta_0, \beta_1, \beta_2, \beta_3\}$ is a set of pairwise orthogonal idempotents of $A$ whose sum equals 1. Hence, $(A, \sigma)$ is naturally isomorphic to $(R \times R \times R \times R$, cyclic shift) and the proof is complete.

As a consequence of Lemma 2.2 and Theorem 2.3 we have the following corollary, whose part (i) is well-known.

**Corollary 2.4.**

(i) If $-1 \in R^{*2}$ then $NB(\mathbb{Z}/4\mathbb{Z}, R) \simeq R^*/R^{*4}$.

(ii) $NB(\mathbb{Z}/4\mathbb{Z}, R)$ is trivial if and only if $R^* = R^{*2}$.

(iii) $NB(\mathbb{Z}/4\mathbb{Z}, R)$ has exponent 2 if and only if $R^{*2} = N(S^*) \subsetneq R^*$.

(iv) $NB(\mathbb{Z}/4\mathbb{Z}, R)$ has exponent 4 if and only if $R^{*2} \subsetneq N(S^*)$.

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